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DATE: May 11, 2005

TO: Examiner Jeff B. Vockrodt  
Group Art Unit 2822

FAX #: 703-872-9306

PHONE #: 703-605-1174

Application No.: 09/940,567  
Applicant: TRAMMEL et al.  
Due Date:

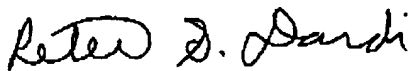
NEW REF.: 3275.32US01

FROM: Peter S. Dardi, Ph.D.  
PHONE #: (612) 349-5746

Attached please find the following document for filing in the above-identified patent application:

- 1) Fee Address Indication Form (1 page)
- 2) Certificate Under 37 C.F.R. § 3.73(b) (2 pages)

Sincerely,

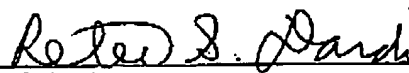


Peter S. Dardi, Ph.D.  
Reg. No. 39,650

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703-872-9306 on the date shown below thereby constituting filing of same.

May 11, 2005  
Date

  
Peter S. Dardi

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3275.32US01

TRAMMEL et al.

Confirmation No. 5034

Application No.: 09/940,567

Examiner: Jeff B. Vockrodt

Filed: August 27, 2001

Group Art Unit: 2812

For: PLANAR LIGHTWAVE CIRCUIT ACTIVE DEVICE METALLIZATION PROCESS

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Lightwave Microsystems Corporation, a corporation, states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012128, Frame 0743, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From \_\_\_\_\_ to \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

2. From \_\_\_\_\_ to \_\_\_\_\_

Application No. 09/940,567

The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

3. From \_\_\_\_\_ to \_\_\_\_\_

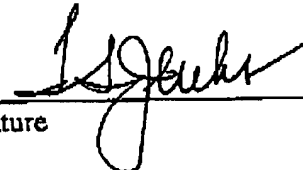
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 3/23/05

  
Signature

Timothy S. Jenks  
Name Printed or Typed

CEO, Lightwave Microsystems  
Title

Application No. 09/940,567

**"FEE ADDRESS" INDICATION FORM**

Address to:  
Mail Stop M Correspondence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the following address:

Customer No. 24113  
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in the following listed application(s) for which the Issue Fee has been paid or patent(s).

PATENT NUMBER  
6,709,882

APPLICATION NUMBER  
09/940,567

(check one)

- ☒ Applicant/Inventor  
☐ Assignee of record of the entire interest  
☐ Attorney or agent of record - Reg. No. 39,650  
☐ Assignment recorded at Reel \_\_\_\_\_ Frame \_\_\_\_\_

Date: 3/23/05

Signature

Timothy S. Jenks

Name Printed or Typed

CEO, Lightwave Microsystems

Title

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